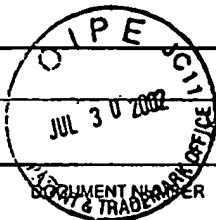


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| FORM PTO-1449<br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE<br><br>SUPPLEMENTAL<br>INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT<br><br>(USE SEVERAL SHEETS IF NECESSARY) | ATTY. DOCKET NO.<br>UC1002.001A | APPLICATION NO.<br>10/052.473 |
|  | APPLICANT<br>Baerveldt, et al.  |                               |
|  | FILING DATE<br>January 18, 2002 | GROUP<br>3761                 |



| U.S. PATENT DOCUMENTS |   |                 |          |                     |       |          |                                 |
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| EXAMINER<br>INITIAL   |   | DOCUMENT NUMBER | DATE     | NAME                | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
| <i>AF</i>             | 1 | 4,559,942       | 12/24/85 | Eisenberg           |       |          |                                 |
|                       | 2 | 4,846,172       | 07/11/89 | Berlin              |       |          |                                 |
|                       | 3 | 5,123,902       | 06/23/92 | Müller, et al.      |       |          |                                 |
|                       | 4 | 5,129,895       | 07/14/92 | Vassiliadis, et al. |       |          |                                 |
|                       | 5 | 5,688,281       | 11/18/97 | Amirkhanian, et al. |       |          |                                 |
|                       |   |                 |          |                     |       |          |                                 |
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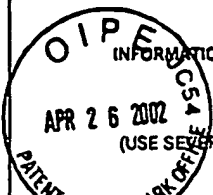
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| EXAMINER<br>INITIAL      |   | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|                          |   |                 |          |         |       |          | YES         | NO |
| <i>AF</i>                | 6 | WO 99/51156     | 10/14/99 | PCT     |       |          |             |    |
|                          |   |                 |          |         |       |          |             |    |
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| EXAMINER<br>INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |  |
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| EXAMINER   | <i>Amir</i> | DATE CONSIDERED | <i>01/23/05</i> |
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. |             |                 |                 |

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| FORM PTO-1449  | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>UCI002.001A | APPLICATION NO.<br>10/052,473 |
|  <p>INFORMATION DISCLOSURE STATEMENT<br/>BY APPLICANT<br/>(USE SEVERAL SHEETS IF NECESSARY)</p> |  | APPLICANT<br>Baerveldt, et al.  |                               |
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| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER | DATE     | NAME          | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
| <i>[initials]</i>     | 1. | 5,958,266       | 9/28/99  | Fugo, et al.  |       |          |                                 |
|                       | 2. | 6,352,535       | 3/5/02   | Lewis, et al. |       |          |                                 |
|                       | 3. | 6,135,998       | 10/24/00 | Palanker      |       |          |                                 |
|                       | 4. | 5,488,185       | 1/23/96  | Stegmann      |       |          |                                 |
|                       |    |                 |          |               |       |          |                                 |
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| EXAMINER<br>INITIAL      |    | DOCUMENT NUMBER | DATE   | COUNTRY                | CLASS | SUBCLASS | TRANSLATION |
|                          |    |                 |        |                        |       |          | YES NO      |
| <i>[initials]</i>        | 5. | 0898947         | 3/3/99 | European Patent Office |       |          | X           |
|                          |    |                 |        |                        |       |          |             |

| EXAMINER<br>INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |  |  |  |  |  |  |
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| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. |                                    |

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| FORM PTO-1449                                    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>UCI002.001A | APPLICATION NO.<br>10/052,473 |
| INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT |  | APPLICANT<br>Baerveldt, et al.  |                               |
| (USE SEVERAL SHEETS IF NECESSARY)                |  | FILING DATE<br>January 18, 2002 | GROUP<br>3761                 |

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| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER | DATE       | NAME              | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
| <i>A</i>              | 1  | 5178604         | 01/12/93   | Baerveldt, et al. |       |          |                                 |
|                       | 2  | 3844272         | 10/29/74   | Banko             |       |          |                                 |
|                       | 3  | 3996935         | 12/14/76   | Banko             |       |          |                                 |
|                       | 4  | 5472440         | 12/5/95    | Beckman           |       |          |                                 |
|                       | 5  | 6251103         | 06/26/01   | Berlin            |       |          |                                 |
|                       | 6  | 4282884         | 08/11/81   | Boebel            |       |          |                                 |
|                       | 7  | 6142990         | 11/07/00   | Burk              |       |          |                                 |
|                       | 8  | 5722970         | 03/03/98   | Colvard, et al.   |       |          |                                 |
|                       | 9  | 5738677         | 04/14/98   | Colvard, et al.   |       |          |                                 |
|                       | 10 | 5733256         | 03/31/98   | Costin            |       |          |                                 |
|                       | 11 | 5865831         | 02/02/99   | Cozean, et al.    |       |          |                                 |
|                       | 12 | 6007555         | 12/28/99   | Devine            |       |          |                                 |
|                       | 13 | 4694828         | 09/22/87   | Eichenbaum        |       |          |                                 |
|                       | 14 | 6135999         | 10/24/00   | Fanton, et al.    |       |          |                                 |
|                       | 15 | 5755718         | 05/26/98   | Garito, et al.    |       |          |                                 |
|                       | 16 | 6004318         | 12/21/99   | Garito, et al.    |       |          |                                 |
|                       | 17 | 5825958         | 10/20/98   | Gollihar, et al.  |       |          |                                 |
|                       | 18 | 5788679         | 08/04/98   | Gravlee, Jr.      |       |          |                                 |
|                       | 19 | 4320761         | 03/23/82   | Haddad            |       |          |                                 |
|                       | 20 | 5738676         | 04/14/98   | Hammer, et al.    |       |          |                                 |
|                       | 21 | 590681          | 09/28/1897 | Harthan           |       |          |                                 |
|                       | 22 | 3893445         | 07/08/75   | Hofsess           |       |          |                                 |
|                       | 23 | 5980548         | 11/09/99   | Hood              |       |          |                                 |
|                       | 24 | 6059772         | 05/09/00   | Hsia, et al.      |       |          |                                 |
|                       | 25 | 3929123         | 12/30/75   | Jamshidi          |       |          |                                 |
|                       | 26 | 3583380         | 06/08/71   | Jascalevich       |       |          |                                 |
|                       | 27 | 5135295         | 08/04/92   | Jen, et al.       |       |          |                                 |

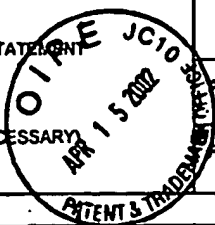
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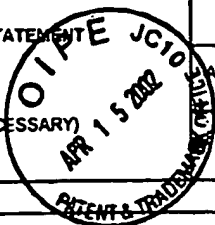
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| FORM PTO-1449                                    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>UCID02.001A | APPLICATION NO.<br>10/052,473 |
| INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT |  | APPLICANT<br>Baerveldt, et al.  |                               |
| (USE SEVERAL SHEETS IF NECESSARY)                |  | FILING DATE<br>January 18, 2002 | GROUP<br>3781                 |



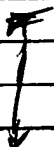
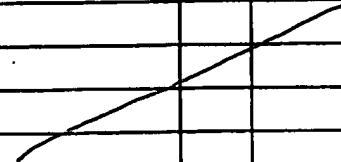
| U.S. PATENT DOCUMENTS |    |                 |          |                    |       |          |                                 |
|-----------------------|----|-----------------|----------|--------------------|-------|----------|---------------------------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER | DATE     | NAME               | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
| <i>A</i>              | 28 | 4538811         | 08/03/85 | Kelman             |       |          |                                 |
|                       | 29 | 5407443         | 04/18/85 | Kobayashi, et al.  |       |          |                                 |
|                       | 30 | 5549598         | 08/27/88 | Latina             |       |          |                                 |
|                       | 31 | 4900300         | 02/13/90 | Lee                |       |          |                                 |
|                       | 32 | 2850007         | 08/02/58 | Lingley            |       |          |                                 |
|                       | 33 | 6220247         | 04/24/01 | Maldonado Bas      |       |          |                                 |
|                       | 34 | 4577629         | 03/25/88 | Martinez           |       |          |                                 |
|                       | 35 | 3007471         | 04/27/59 | McClure, Jr.       |       |          |                                 |
|                       | 36 | 5957821         | 08/28/89 | Mirhashemi, et al. |       |          |                                 |
|                       | 37 | 6142898         | 11/07/00 | Mirhashemi, et al. |       |          |                                 |
|                       | 38 | 6096031         | 08/01/00 | Mitchell, et al.   |       |          |                                 |
|                       | 39 | 4955883         | 09/11/80 | Nevyas, et al.     |       |          |                                 |
|                       | 40 | 5549598         | 08/27/88 | O'Donnell, Jr.     |       |          |                                 |
|                       | 41 | 6088583         | 07/11/00 | Ouchi              |       |          |                                 |
|                       | 42 | 4805816         | 02/11/89 | Pao                |       |          |                                 |
|                       | 43 | 6126629         | 10/03/00 | Perkins            |       |          |                                 |
|                       | 44 | 6140740         | 10/31/00 | Porat, et al.      |       |          |                                 |
|                       | 45 | 5893862         | 04/13/99 | Pratt, et al.      |       |          |                                 |
|                       | 46 | 4590935         | 05/27/88 | Ranalli            |       |          |                                 |
|                       | 47 | 5972416         | 10/28/99 | Reimels, et al.    |       |          |                                 |
|                       | 48 | 5633643         | 11/10/98 | Ross, et al.       |       |          |                                 |
|                       | 49 | 5830209         | 11/03/98 | Savage, et al.     |       |          |                                 |
|                       | 50 | 5074881         | 12/24/91 | Schneider, et al.  |       |          |                                 |
|                       | 51 | 4011869         | 03/15/77 | Seller, Jr.        |       |          |                                 |
|                       | 52 | 6319274         | 11/20/01 | Shaddock           |       |          |                                 |
|                       | 53 | 4501274         | 02/28/85 | Skjaerpe           |       |          |                                 |
|                       | 54 | 4481948         | 11/13/84 | Sole               |       |          |                                 |

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| EXAMINER<br><i>A. J. [Signature]</i>   | DATE CONSIDERED<br><i>01/23/05</i> |
| *EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 608; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT. |                                    |

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| FORM PTO-1449                                    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>UCI002.001A | APPLICATION NO.<br>10/052,473 |
| INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT |  | APPLICANT<br>Baerveldt, et al.  |                               |
| (USE SEVERAL SHEETS IF NECESSARY)                |  | FILING DATE<br>January 18, 2002 | GROUP<br>3781                 |



| U.S. PATENT DOCUMENTS |    |                 |          |                     |       |          |                                 |
|-----------------------|----|-----------------|----------|---------------------|-------|----------|---------------------------------|
| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER | DATE     | NAME                | CLASS | SUBCLASS | FILING DATE<br>(IF APPROPRIATE) |
| A                     | 55 | 5437678         | 08/01/85 | Sorensen            |       |          |                                 |
|                       | 56 | 5871492         | 02/16/89 | Sorensen            |       |          |                                 |
|                       | 57 | 6117149         | 09/12/00 | Sorensen            |       |          |                                 |
|                       | 58 | 5360399         | 11/01/84 | Stegmann            |       |          |                                 |
|                       | 59 | 5743871         | 04/28/98 | Strukel             |       |          |                                 |
|                       | 60 | 6325792         | 12/04/01 | Swinger, et al.     |       |          |                                 |
|                       | 61 | 4889040         | 08/25/87 | Thompson            |       |          |                                 |
|                       | 62 | 5431646         | 07/11/85 | Vassiliadis, et al. |       |          |                                 |
|                       | 63 | 5893849         | 04/13/99 | Weaver              |       |          |                                 |
|                       | 64 | 4041947         | 08/16/77 | Weiss, et al.       |       |          |                                 |
|                       | 65 | 4570832         | 02/18/88 | Woods               |       |          |                                 |
|                       | 66 | 4308875         | 01/05/82 | Young               |       |          |                                 |

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| EXAMINER<br>INITIAL   |    | DOCUMENT NUMBER | DATE     | COUNTRY | CLASS  | SUBCLASS | TRANSLATION |    |
|   |    |                 |          |         |  |          | YES         | NO |
|  | 67 | WO 00/64389     | 11/02/00 | PCT     |  |          |             |    |
|   | 68 | WO 00/64390     | 11/02/00 | PCT     |  |          |             |    |
|   | 69 | WO 00/67687     | 11/16/00 | PCT     |  |          |             |    |
|   | 70 | WO 98/30157     | 07/16/98 | PCT     |  |          |             |    |

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|                     | 72   | Erbium: YAG laser effective for vitreoretinal surgery. Ophthalmology Times, pg. 68, June 15, 2000.                              |
|                     | 73   | New Procedure helps correct farsightedness. Newspaper Article from The Orange County Register, October 4, 2000.                 |
|                     | 74   | Millennium TSV25 System, Transconjunctival Standard Vitrectomy. Brochure from Bausch & Lomb, Copyright 2001.                    |
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|                     | 76   | The Foundation of The American Academy of Ophthalmology. Basic and Clinical Science Course. Pages 85 through 91.                |

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| EXAMINER           | DATE CONSIDERED |
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| FORM PTO-1449                                    | U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO.<br>UC1002.001A | APPLICATION NO.<br>10/052,473 |
| INFORMATION DISCLOSURE STATEMENT<br>BY APPLICANT |  | APPLICANT<br>Baerveldt, et al.  |                               |
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| EXAMINER<br>INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) |   |
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| <i>A</i>            | 77   | The C.V. Mosby Co. St. Louis, Mo.; <i>Retina</i> Vol. 3, Chapter 124, pages 182-195, 1989.  |
|                     | 78   | STORZ Ophthalmics, Catalog, pages 144, 265. Unknown publication date, but predates invention.   |
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|                     | 82   | RHEIN Medical Inc. Advertisement. Unknown publication date, but publication predates invention.   |
|                     | 83   | RHEIN Medical Inc. Advertisement. Unknown publication date, but publication predates invention.   |
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|                     | 86   | Microsurgery Advanced Design Lab. <a href="http://www.madlab.lhu.edu/manhan.htm">www.madlab.lhu.edu/manhan.htm</a> . Initiatives, 1 page.   |
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|                     | 96   | Bayraktar, S., et al., Endoscopic Goniotomy with Anterior Chamber Maintainer: Surgical Technique and One-Year Results. <i>Ophthalmic Surg Lasers Nov-Dec</i> ; 32(6):498-502, 2001                          |
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